

Quarterly Progress Report for *Maharashtra*



Time Period: July to September 2011

This Report comprises

State level report	
<i>Name of state</i>	
<u>MAHARASHTRA</u>	
City level report	
<i>Name of JNNURM City</i>	
<u>THANE</u>	
Project level report	
<i>MUM-022</i>	<i>110 MLD water supply from Bhatsa River</i>
<i>MUM-027</i>	<i>Station Area Traffic Improvement Scheme</i>
<i>MUM-024</i>	<i>Integrated nalla development programme Phase I</i>
<i>MUM-23</i>	<i>Integrated nalla development programme Phase II</i>
<i>MUM-056</i>	<i>Integrated nalla development programme Phase III</i>
<i>MUM-34</i>	<i>Sewerage Scheme Project for Thane City Phase I</i>
<i>MUM-51</i>	<i>Under Ground Sewerage Scheme (Kalwa & Ghodbunder) -Phase II</i>
<i>MUM-52</i>	<i>Under Ground Sewerage Scheme (Mumbra) – Phase III</i>
	<i>Procurement of Buses under JNNURM</i>

PROGRESS AT CITY LEVEL

Name of City THANE

July 2011 to September 2011

1a. Mandatory Reforms at City Level¹			
	Commitment as per the MoA for the current financial year	Progress made during the Quarter	Cumulative progress during the financial year
a)	Implementation of Accounting Reform	<i>Completed</i>	<i>Completed</i>
	Improved Financial Management	<i>Completed</i>	<i>Completed</i>
b)	Property Tax reforms		
	Elimination of exemptions	<i>365 properties are exempted</i>	<i>393 properties are exempted</i>
	Migration to self assessment system	<i>Drafts of self assessment system forms are submitted to Govt vide letter no TMC /AC/ dtd /1/11/08 ,but system awaiting for capital value base tax assessment system from year 2011-2012.</i>	<i>Drafts of self assessment system forms are submitted to Govt vide letter no TMC /AC/ dtd /1/11/08 ,but system awaiting for capital value base tax assessment system from year 2011-2012.</i>
	Use of GIS based property tax system	<i>.TMC is under processing of 1)GR. truthing of each prop. in existing base 2) Geometric corrections of Prop. on the hard copy maps 3) Identification of proper. which are not marked on the base map</i>	<i>TMC is under processing of 1)GR. truthing of each prop. in existing base 2) Geometric corrections of Prop. on the hard copy maps 3) Identification of proper. which are not marked on the base map</i>
	Coverage of Property Tax Regime on Properties liable to tax	<i>EST properties 163641 out of this 151185 properties registered and 365prop are exempted others are on forest &CRZ land</i>	<i>EST properties 163641 out of this 151185 properties registered and 393 prop are exempted others are on forest &CRZ land</i>
	Elimination of Exemptions	- Completed	Completed
	Achievements of 90 % collection ratio for current demand	<i>Esti Current supply +demand =176.21Crs out of this disputed demands6.39 Crs. is unrecoverable current demand is 169.82 out of this Rs 166.83cr are recoverable up to 31/03/2011 Current recovery 98.24%</i>	<i>In year 2011 -12 current +suppli demend rs 203.05 out of this disputed demand Rs 7.33 crs is unrecoverable, recoverable current demand Rs 84.21 crs are recovered up to 22/09/2011</i>
	Improvement in collection of arrears , to reach Total outstanding Arrears less than	<i>Recoverable arrears are 34.94 Crs of previous year .Current demand is Rs 129.38 Crs. i.e</i>	<i>Yr 2011-12 Arre. is 104.06 crs out of this disputed Arre Rs 53.87 Crs . , recoverable Arre is Rs 50.19</i>

¹ In case of city of multiple ULBs, table 1 above should be reported for all ULBs within that urban agglomeration.

1a. Mandatory Reforms at City Level¹			
	Commitment as per the MoA for the current financial year	Progress made during the Quarter	Cumulative progress during the financial year
	or equal to 10 % of Current demand for previous year	<i>31.12 Crs are recovered upto 31/03/2011. Arrears recovery 90%.</i>	<i>Crs previous year curr. demand is Rs 149.87 ie Rs 7.20 crs are recovered up to 22/09/11</i>
c)	Reforms in levy of user charges		
	Targeted service standards & timeline <ul style="list-style-type: none"> • Solid Waste Management • Water supply • Public transport 	<i>Completed</i>	<i>Completed</i>
	<ul style="list-style-type: none"> • Volumetric based tariff through 100% metering • Achieving target for non revenue water and unaccounted water 	<i>Completed</i>	<i>Completed</i>
d)	Implementation of E-governance in Municipalities	<i>Completed</i>	
e)	Earmarking of funds for basic services to the poor	<i>Completed</i>	
1b . Optional Reforms at City Level²			
	Commitment as per the MoA for the current financial year	Progress made during the Quarter	Cumulative progress during the financial year
a)	Introduction of property title certification	-	<i>State level activity</i>
b)	Revision of Building Byelaws to Streamline The Approval Process	<i>Completed</i>	<i>Completed</i>
c)	Revision of Building Byelaws to make Rain Water Harvesting Compulsory	<i>Completed</i>	<i>Govt. Resolution approving new regulations issued</i>

² In case of city of multiple ULB s table 1 above should be reported for all ULBs within that urban agglomeration.

1a. Mandatory Reforms at City Level¹			
	Commitment as per the MoA for the current financial year	Progress made during the Quarter	Cumulative progress during the financial year
d)	Earmarking At Least 20-25 Per Cent Of Developed Land In All Housing Projects (Both Public And Private Agencies) For Ews/Lig Category With A System Of Cross Subsidization	<i>The actual implementation has started since Nov. 2009 as per proposed regulation for EWS/LIG</i>	
e)	Byelaws on Reuse of Recycled Water	-	<i>Completed and implemented.</i>
f)	Administrative Reforms	<i>Completed</i>	<i>Completed</i>
g)	Structural Reforms	<i>Completed</i>	<i>Completed</i>
i)	Encouraging Public Private Partnership		<i>31 project are completed. Remaining work are in progress.</i>

Signature & Date
Authorised Signatory
Nodal Officer
Thane Municipal Corporation, Thane

Signature & Date
Authorised Signatory
Municipal Commissioner
Thane Municipal Corporation, Thane

PART III

MONITORING PROJECT IMPLEMENTATION

Additional 110 MLD from Bhatsa River

1.	Project title:	<i>Additional 110 MLD from Bhatsa River</i>		3.	Project Bank A/c No: & Name & Address of Bank	<i>60057048586 Bank of Maharashtra TMC Branch</i>
2.	Project code:	<i>MUM-022</i>		4.	Project Cost (in Rs. Lakhs) – as sanctioned	<i>Rs 7118.00</i>
3.	Implementing Agency:	<i>Thane Municipal Corporation</i>				

All amounts are in Rs. lakhs

5. Capital Contributions to the project and Inflows ³							
S. No	Sources	Commitment based on approved project cost	%	Actual release upto end of last reporting quarter ⁴	Actual amounts released and dates in current financial year FY 10-11		Commitment pending release from source for balance project period
					During the last quarter being reported (July– September 2011)	Cumulative release as on	
1	GoI	2491.30	35	2491.30	-	2491.30	-
2	State	1067.70	15	1067.70	-	1067.70	-
3	ULB	3559.00	50	6903.53	-	6903.53	-
4	Others (specify)	-	-	-	-	-	-
	Total	7118.0	100	10462.53	-	10462.53	
Total interest accumulated in bank account to date						Rs.	65.0 Lakhs

³ Note (for filling table):

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

⁴ From start of the project

6. Budget Allocation by ULB / parastatal agency

Allocation in ULB / parastatal agency budget for this project in current financial year

Rs 100.0 Lakhs*All amounts are in Rs. lakhs*

7. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation		Completion	
Pack age No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	Work under progress	Physical % of work completed	Scheduled date	Actual completion date
1.	<i>Additional 110 MLD water supply from Pise Heads Works</i>	<i>6165.67</i>	<i>7698.46</i>		<i>25/5/07</i>	<i>06/11/2007</i>	<i>Completed</i>	<i>100%</i>	<i>Nov 2009</i>	<i>August 2009</i>
2.	<i>Providing and supplying bulk meters</i>	<i>197.00</i>	<i>299.04</i>		<i>30/07/07</i>					
3.	<i>Providing Project management Consultancy</i>	<i>158.00</i>	<i>158.00</i>		<i>08/5/2007</i>	<i>06/11/2007</i>				
4	<i>Permission charges to other agencies</i>	<i>597.33</i>	<i>597.33</i>							
Total		<i>7118.00</i>	<i>8752.83</i>							

8.	Project Implementation activities planned for the quarter	Project implementation achievements during the quarter
	• <i>preparation and ESR automation</i>	• <i>Old plant filter bed automation completed, Software</i>

	<i>preparation and ESR automation in progress</i>
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9.	Estimated time for completion of Project as per DPR ⁵ : December 2009 Estimated time for completion of project as on date: August 2009
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All amounts are in Rs. lakhs

10. Monitoring Funds Utilisation⁶ for the project					
Tender Package No.	Actual amounts utilized in the project		Cumulative expenditure as on date	Estimated expenditure for next Quarter	Expected time to request for next installment
	Up to end of last reporting Quarter ⁷	During the last quarter being reported (July– September 2011)			
1	9192.73			9192.73	
2.	230.74	-		230.74	
3	158.75	-		158.75	
4	207.10	-		207.10	
Total	9789.32			9789.32	
Utilisation of funds as % of funds received from all sources for the project as on date				93.56%	
11. Any issues / constraints in project implementation					
<ul style="list-style-type: none"> • <i>Issue regarding the Escalation clause in the tender for the projects already sanctioned by CS & MC</i> • <i>Incorporating the additional cost due to the trends of higher rates in the tender (10 to 15 percent higher than the estimated cost)</i> 					

Signature & Date
Authorised Signatory
Nodal Officer

Signature & Date
Authorised Signatory
Municipal Commissioner

⁵ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the project

⁶ Utilisation implies – draws from the project bank account for payments pertaining to the project

⁷ From the start of the project

Scheduled completion date of Project as per DPR approved by CSMC: month / year = November 2009

Actual duration (in months)for project completion: 21 months 22/08/2009

Estimated time for completion of project as on date: month / year = 27 months Nov 2009 (Completed on 22Nd August 2009)

Is there a difference between schedule date of completion and estimated date of completion : / No

In case Yes, then what are the reasons for the delay, please select from the list below:

Sr. No	List of Issues	Yes/ No	Brief remark on reason of delay
1	Delay related to fund release into Project account	No	-
2	Issues related to cost escalation	No	-
3	Delay in tendering process	No	-
4	Technical sanction process at State level	No	-
5	Field level conditions leading to redesign	No	-
6	Constraints in supply of equipment /material / technology	No	-
7	Technical capacity of ULB	No	-
8	Project management related issue	No	-
9	Any other issue / constraints in project implementation	No	-

Thane Municipal Corporation, Thane

Thane Municipal Corporation ,Thane

Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the project

Signature & Date
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Nodal Officer

Signature & Date
Authorised Signatory
Municipal Commissioner

Thane Municipal Corporation, Thane

Thane Municipal Corporation, Thane

11 Issues of Project monitoring & inspection		
Sr. No	Particulars	
1	Inspection carried out by SLNA /GoI officers	
2	Date of inspection	
3	Issues reported during inspection	NA
4	Course corrections done	Nil
5	Suggestion if any for Project monitoring and MIS	

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Nodal Officer
Thane Municipal Corporation, Thane

Signature & Date
Authorised Signatory
Municipal Commissioner
Thane Municipal Corporation, Thane

Station Area Traffic Improvement Scheme (SATIS)

1.	<i>Station Area Traffic Improvement Scheme (SATIS)</i>		3.	Project Bank A/c No: & Name & Address of Bank	<i>60057051270 Bank of Maharashtra TMC Branch</i>
2.	<i>MUM-027</i>		4.	Project Cost (in Rs. Lakhs) – as sanctioned	<i>2325.00</i>
3.	<i>Thane Municipal Corporation</i>				

All amounts are in Rs. lakhs

5. Capital Contributions to the project and Inflows⁸							
S. No	Sources	Commitment based on approved project cost	%	Actual release upto end of last reporting quarter ⁹	Actual amounts released and dates in current financial year FY 10-11	Cumulative releases on date	Commitment pending release from source for balance project period
					During the last quarter being reported (July –September 2011)		
1	GoI	813.75	35	813.75	-	813.75	-
2	State	348.75	15	348.75	-	348.75	-
3	ULB	1162.50	50	2735.50	-	2735.50	-
4	Others (specify)	-			-		-
	Total	2325.00	100	3898.00	-	3898.00	
Total interest accumulated in bank account to date						Rs.	36.0lakhs

6. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year	Rs 0.0 Lakhs

⁸ Note (for filling table):

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

⁹ From start of the project

All amounts are in Rs. lakhs

7. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation Status		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	Scheduled date	Actual completion date	Tender Award date	(Work Not started/Under Progress/Completed)	% of work completed (Physical Progress)	Scheduled date	Actual completion date
1.	<i>Station Area Traffic Improvement Scheme (SATIS)</i>	<i>2325.00</i>	<i>2936.00</i>	<i>September 2008</i>	<i>August 2009</i>	<i>9-3-07</i>	<i>100%</i>	<i>100%</i>	<i>September 2008</i>	<i>August 2009</i>
<i>Total</i>		<i>2325.00</i>	<i>2936.00</i>							

8.	Project Implementation activities planned for the quarter	Project implementation achievements during the quarter
	• <i>Finishing work</i>	• <i>Work completed</i>

9.	Estimated time for completion of Project as per DPR ¹⁰ : <i>September 2008</i> Estimated time for completion of project as on date: <i>31st July 2009</i>
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All amounts are in Rs. lakhs

10. Monitoring Funds Utilisation¹¹ for the project					
Tender Package No.	Actual amounts utilised in the project		Cumulative Expenditure as on date	Expected Expenditure for next Quarter	Expected time to request for next installment
	Up to end of last reporting Quarter ¹²	During the last quarter being reported (July – September 2011)			
1.	3570.31	-	3570.31		
Total	3570.31	-	3570.31		
Utilisation of funds as % of funds received from all sources for the project as on date				91.60%	
11. Any issues / constraints in project implementation					
<ul style="list-style-type: none"> • <i>Issue regarding the Escalation clause in the tender for the projects already sanctioned by CS & MC</i> • <i>Incorporating the additional cost due to the trends of higher rates in the tender (10 to 15 percent higher than the estimated cost)</i> • <i>Difficulties in diversion of traffic in station area</i> • <i>Shifting of Dr. Babasaheb Ambedkar Statue</i> 					

Signature & Date
Authorised Signatory
Nodal Officer

Signature & Date
Authorised Signatory
Municipal Commissioner

¹⁰ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the project

¹¹ Utilisation implies – draws from the project bank account for payments pertaining to the project

¹² From the start of the project

Scheduled completion date of Project as per DPR7 approved by CSMC: *month / year 18 months 8/09/2008*

Actual duration (in months)for project completion: *30 months*

Estimated time for completion of project as on date: *month / year NA*

Is there a difference between schedule date of completion and estimated date of completion : *Yes*

In case Yes, then what are the reasons for the delay, please select from the list below:

Sr. No	List of Issues	Yes/ No	Brief remark on reason of delay
1	Delay related to fund release into Project account	No	
2	Issues related to cost escalation	No	
3	Delay in tendering process	No	
4	Technical sanction process at State level	No	
5	Field level conditions leading to redesign	Yes	<p>1. <u>Modifications suggested by Central Railways</u> The project is being implemented partly on the Railway land. Approximately 33% of the scope of work is on Railway Area. Memorandum of understanding along with plans on the project had been signed with the Railways well in advance before commencement of project. However, at the time of actual execution Railways stopped the work and advised to submit General Arrangement Drawing for approval. Railways suggested modification in the GAD like shifting Foot Over Bridge and Low Level Deck away from the station building by 1.00m, locations of piers in concourse area etc. which had to be incorporated. Long and persistent follow-up had to be done to get the General Arrangement Drawing vetted through 15 various departments of Railways. The Railways approved the General Arrangement Drawing for the Station Area on 16.01.2008 which is about 10 months after commencement of work</p>
6	Constraints in supply of equipment /material / technology	No	
7	Technical capacity of ULB	No	

8	Project management related issue	No	
9	Any other issue / constraints in project implementation	Yes	<p>Various other issues/ constraints attributable for delay in implementation of project are as under:</p> <ol style="list-style-type: none"> 1. <u>Delay in handing over of worksite:</u> As seen in 5 above, there has been initial delay of about 10 months in approval of General Arrangement Drawings from Railways. Further to that, there has been delay in removing the infringing Central Railway Structures like Railway Authorized parking, shops, Semi permanent shades for godown, Stairs of Foot over bridge etc. 2. <u>Restrictions on working hours:</u> The project has been implemented in the busiest part of the city. There is tremendous flow of vehicular and pedestrian traffic in the area. About six lakhs of commuters use to travel daily through the work place. Since, the work site (Thane Railway Station Area) is origin and destination of these commuters diversion of this traffic was not possible. Hence, the work had to be carried out through moving vehicular and pedestrian traffic. However, major work could not be carried out in morning and evening peak hours of four hours duration each. This left only limited working period in daytime. The works when planned in night hours, local residents forced to stop the same after 10PM due to sounds of working machinery. The machinery deployed on the work had been noise compliant as per CPCB/ MPCB norms. The same was got tested through pollution control cell. This fact had been informed to residents, however, yet they didn't cooperate and stopped the work. Hence, this imposed

		<p>another restriction on working hours.</p> <p><u>Shifting of monuments:</u> A statue of Bharatratna Dr. Babasaheb Ambedkar infringed the construction activities of foundation of flyover bridge. Hence, shifting of the same was necessary. Since, the issue had been socially sensitive and could have caused threat to the law and order situation, the shifting was arranged after proper coordination with concerned groups, political parties, individuals, local administration and Police. Number of common meetings, hearings etc. were arranged in the effort and finally the statue was shifted peacefully of 22.03.2009.</p>
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⁷ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the

Signature & Date
Authorised Signatory

Signature & Date
Authorised Signatory

Issues of project monitoring and inspection		
Sr. No	Particulars	
1	Inspection carried out by SLNA /GoI officers	
2	Date of inspection	
3	Issues reported during inspection	-
4	Course corrections done	-
5	Suggestion if any for Project monitoring and MIS	-

Signature & Date
Authorised Signatory
Nodal Officer

Signature & Date
Authorised Signatory
Municipal Commissioner

Integrated nalla development programme Phase I

1.		<i>Integrated nalla Development Programme Phase I</i>	3.	Project Bank A/c No: & Name & Address of Bank	<i>60057050447 Bank Of Maharashtra TMC Branch</i>
2.	Project code:	<i>MUM-024</i>	4.	Project Cost (in Rs. Lakhs) – as sanctioned	<i>9239.00</i>
3.	Implementing Agency:	<i>Thane Municipal Corporation</i>			

All amounts are in Rs. lakhs

5. Capital Contributions to the project and Inflows¹³							
S. No	Sources	Commitment based on approved project cost	%	Actual release upto end of last reporting quarter ¹⁴	Actual amounts released and dates in current financial year FY 10-11		Commitment pending release from source for balance project period
					During the last quarter being reported (July – September 2011)	Cumulative release as on date	
1	GoI	3233.65	35	2425.23	-	2425.23	
2	State	1385.85	15	1039.38	-	1039.38	
3	ULB	4619.50	50	10402.15		10402.15	
4	Others (specify)	-					
	Total	9239.0	100	13866.76		13866.76	
Total interest accumulated in bank account to date						Rs.	74.0lakhs

6. Budget Allocation by ULB / parastatal agency

Allocation in ULB / parastatal agency budget for this project in current financial year	Rs 2300.0 Lakhs
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¹³ Note (for filling table):

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

¹⁴ From start of the project

All amounts are in Rs. lakhs

7. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation		Completion	
Pack age No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	Work under progress	Physical % of work completed	Scheduled date	Actual completion date
1	<i>Construction and strengthening of Nalla No. 4</i>	6.66	6.66	6.47		3/5/07	<i>Completed</i>	100%	Nov 2007	Nov 2007
2	<i>Construction and strengthening of Nalla No. 5</i>	1254.31	1742.34	<i>In progress</i>	12/7/06	18/4/07	<i>Completed</i>	100%	March 2011	September 2009
3	<i>Construction and strengthening of Nalla No. 6</i>	2175.44	2664.94	<i>In progress</i>	12/7/06	18/4/07	<i>Completed</i>	100%	March 2011	June 2011
4	<i>Construction and strengthening of Nalla No. 1(part), 3W,7 and sub nallas under Integrated Nalla Development Project in Thane City</i>	4158.75	4693.00	<i>In progress</i>	11/6/07	26/9/07	<i>Completed</i>	100%	March 2011	June 2011
5.	<i>Construction and strengthening of Nalla No. 1 (balance) 2, 3 E and sub nallas under Integrated Nalla Development Project in Thane City</i>	1644.62	1862.75	<i>In progress</i>	27/7/07	1/12/07	<i>Completed</i>	100%	March 2011	June 2011
Total		9239.78	10969.69							

8.	Project Implementation activities planned for the quarter	Project implementation achievements during the quarter
	<ul style="list-style-type: none"> • Construction of RCC nalla no,6,1, & 7 • Strengthening of nalla no,6, & 7 	<ul style="list-style-type: none"> • Construction of RCC nalla no 6, completed and nalla no 1 & 7 in progress • Strengthening of nalla no 6 completed and nalla no 7 in progress

9.	Estimated time for completion of Project as per DPR ¹⁵ : <i>March 2011</i> Estimated time for completion of project as on date: <i>June 2011</i>
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All amounts are in Rs. lakhs

10. Monitoring Funds Utilisation ¹⁶ for the project					
Tender Package No.	Actual amounts utilised in the project			Estimated Expenditure for next Quarter	Expected time request for next installment
	Up to end of last reporting Quarter ¹⁷	During the last quarter being reported (July - September 2011)	Cumulative Expenditure as on date		
1. Nalla No. 4	6.47	-	6.47	-	<i>Till date 4th Installment is not received, which, was sanctioned in CSMC meeting held on 20/12/210</i>
2. Nalla No. 5	2208.49		2208.49		
3. Nalla No. 6	3295.87		3295.87	-	
4. Nalla No. 1(part), 3W,7	5353.37	347.61	5700.98		
5. Nalla No. 1 (balance) 2, 3 E	2023.97		2023.97		
Total	12888.17	347.61	13235.78		
Utilisation of funds as % of funds received from all sources for the project as on date				95.44%	

¹⁵ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the project

¹⁶ Utilisation implies – draws from the project bank account for payments pertaining to the project

¹⁷ From the start of the project

11. Any issues / constraints in project implementation

Incorporating the additional cost due to trends of higher rates in the tender (10 to 15 percent higher than the estimated cost)

Signature & Date

Authorised Signatory

Nodal Officer

Thane Municipal Corporation, Thane

Signature & Date

Authorised Signatory

Municipal Commissioner

Thane Municipal Corporation, Thane

Scheduled completion date of Project as per DPR7 approved by CSMC: *month / year* *March 2011*

Actual duration (in months)for project completion: *30months*

Estimated time for completion of project as on date: *month / year* *0 months*

Is there a difference between schedule date of completion and estimated date of completion : *Yes, Up to June 2011*

In case Yes, then what are the reasons for the delay, please select from the list below:

Sr. No	List of Issues	Yes/ No	Brief remark on reason of delay
1	Delay related to fund release into Project account	Yes	
2	Issues related to cost escalation	No	-
3	Delay in tendering process	No	-
4	Technical sanction process at State level	No	-
5	Field level conditions leading to redesign	No	-
6	Constraints in supply of equipment /material / technology	No	-
7	Technical capacity of ULB	No	-
8	Project management related issue	No	-
9	Any other issue / constraints in project implementation	Yes	

Signature & Date

Authorised Signatory

Nodal Officer

Thane Municipal Corporation, Thane

Signature & Date

Authorised Signatory

Municipal Commissioner

Thane Municipal Corporation, Than

Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the pr

Issues of Project monitoring & inspection		
Sr. No	Particulars	Remark
1	Inspection carried out by SLNA /GoI officers	Inspection carried out by M/S Shrikhande Consultant Pvt Ltd.
2	Date of inspection	28/08/2011
3	Issues reported during inspection	Nil
4	Course corrections done	Nil
5	Suggestion if any for Project monitoring and MIS	-

Signature & Date
Authorised Signatory
Nodal Officer
Thane Municipal Corporation, Thane

Signature & Date
Authorised Signatory
Municipal Commissioner
Thane Municipal Corporation, Thane

Integrated nella development programme Phase II

1.	Project title:	<i>Integrated nalla Development Programme Phase II</i>	3.	Project Bank A/c No: & Name & Address of Bank	<i>60057050038 Bank of Maharashtra TMC branch</i>
2.	Project code:	<i>MUM-23</i>			
3.	Implementing Agency:	<i>Thane Municipal Corporation</i>	4.	Project Cost (in Rs. Lakhs) – as sanctioned	<i>11659.00.</i>

All amounts are in Rs. lakhs

5. Capital Contributions to the project and Inflows¹⁸							
S. No	Sources	Commitment based on approved project cost	%	Actual release upto end of last reporting quarter ¹⁹	Actual amounts released and dates in current financial year FY 10-11		Commitment pending release from source for balance project period
					During the last quarter being reported (July – September 2011)	Cumulative release as on date	
1	GoI	<i>4080.70</i>	<i>35</i>	<i>3060.48</i>	-	<i>3060.48</i>	
2	State	<i>1748.90</i>	<i>15</i>	<i>1299.81</i>	-	<i>1299.81</i>	
3	ULB	<i>5829.50</i>	<i>50</i>	<i>10251.82</i>	<i>186.28</i>	<i>10438.10</i>	
4	Others (specify)	-					
	Total	<i>11659.00</i>	<i>100</i>	<i>14612.11</i>	<i>186.28</i>	<i>14798.39</i>	
Total interest accumulated in bank account to date						Rs.	159.0 lakhs
6. Budget Allocation by ULB / parastatal agency							
Allocation in ULB / parastatal agency budget for this project in current financial year					<i>Rs 2382.0 Lakhs</i>		

¹⁸ Note (for filling table):

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

¹⁹ From start of the project

All amounts are in Rs. lakhs

7. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	Work under progress	Physical % of work completed	Scheduled date	Actual completion date
1.	<i>Construction and strengthening of Nalla No. 9, 11,12 and sub nallas under Integrated Nalla Development Project in Thane City</i>	5873.12	6630.00		11/6/07	26/9/07	Completed	100%	March 2011	June 2011
2.	<i>Construction and strengthening of Nalla No. 10, 13 and sub nallas under Integrated Nalla Development Project in Thane City</i>	5785.35	6552.62		27/7/07	1/12/07	Completed	100%	March 2011	June 2011
	Total	11658.47	13182.62							

8.	Project Implementation activities planned for the quarter	Project implementation achievements during the quarter
	<ul style="list-style-type: none"> Construction of RCC type nalla no9, 10, 11 and 13 	<ul style="list-style-type: none"> Construction of RCC type nalla no 9, 10, 11 and 13 in progress

9.	Estimated time for completion of Project as per DPR ²⁰ : <i>March 2011</i>
	Estimated time for completion of project as on date: <i>June 2011</i>

All amounts are in Rs. lakhs

10.	Monitoring Funds Utilisation²¹ for the project				
Tender Package No.	Actual amounts utilized in the project			Estimated expenditure for next Quarter	Expected time to request for next installment
	Up to end of last reporting Quarter²²	During the last quarter being reported (July – September 2011)	Cumulative Expenditure as on date		
1. Nalla No 9,11,12	6161.57	812.93	6974.50		<i>Till date 4th Installment is not received, which, was sanctioned in CSMC meeting held on 20/12/210</i>
2. Nalla no 10,13	7792.54	-	7792.54		
Total	13954.11	812.92	14767.04		
Utilisation of funds as % of funds received from all sources for the project as on date				99.78%	

²⁰ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the project

²¹ Utilisation implies – draws from the project bank account for payments pertaining to the project

²² From the start of the project

11. Any issues / constraints in project implementation

- *Incorporating the additional cost due to the trends of higher rates in the tender (10 to 15 percent higher than the estimated cost)*

Signature & Date

Authorised Signatory

Nodal Officer

Thane Municipal Corporation, Thane

Signature & Date

Authorised Signatory

Municipal Commissioner

Thane Municipal Corporation, Thane rd installment on

Scheduled completion date of Project as per DPR⁷ approved by CSMC: *month / year = March 2011*

Actual duration (in months)for project completion: *30months*

Estimated time for completion of project as on date: *month / year = 0 months*

Is there a difference between schedule date of completion and estimated date of completion : *Yes Up to June 2011*

In case Yes, then what are the reasons for the delay, please select from the list below:

Sr. No	List of Issues	Yes/ No	Brief remark on reason of delay
1	Delay related to fund release into Project account	Yes	
2	Issues related to cost escalation	No	-
3	Delay in tendering process	No	-
4	Technical sanction process at State level	No	-
5	Field level conditions leading to redesign	No	-
6	Constraints in supply of equipment /material / technology	No	-
7	Technical capacity of ULB	No	-
8	Project management related issue	No	-
9	Any other issue / constraints in project implementation	Yes	

⁷ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the project
Signature & Date

Nodal Officer
Thane Municipal Corporation, Thane

Municipal Commissioner
Thane Municipal Corporation, Thane

Issues of Project monitoring & inspection		
Sr. No	Particulars	Remark
1	Inspection carried out by SLNA /GoI officers	. Inspection carried out by M/S Shrikhande Consultant Pvt Ltd.
2	Date of inspection	28/08/2011
3	Issues reported during inspection	Nil
4	Course corrections done	Nil
5	Suggestion if any for Project monitoring and MIS	-

Signature & Date
Authorised Signatory
Nodal Officer
Thane Municipal Corporation, Thane

Signature & Date
Authorised Signatory
Municipal Commissioner
Thane Municipal Corporation, Thane

Integrated nalla development programme Phase III

1.	Project title:	<i>Integrated Nalla Development Programme Phase III</i>	3.	Project Bank A/c No: & Name & Address of Bank	<i>60057050298 Bank Of Maharashtra TMC branch</i>
2.	Project code:	<i>MUM-056</i>			
3.	Implementing Agency:	<i>Thane Municipal Corporation</i>	4.	Project Cost (in Rs. Lakhs) – as sanctioned	<i>5789.27</i>

All amounts are in Rs. lakhs

5. Capital Contributions to the project and Inflows ²³							
S. No	Sources	Commitment based on approved project cost	%	Actual release up to end of last reporting quarter ²⁴	Actual amounts released and dates in current financial year FY 10-11	Cumulative release up to date	Commitment pending release from source for balance project period
					During the last quarter being reported (July – September)		
1	GoI	2026.24	35	1013.08	-	1013.08	
2	State	868.39	15	434.10		434.10	
3	ULB	2894.63	50	5914.25		5914.25	
4	Others (specify)						
	Total	<i>5789.27</i>		<i>7361.43</i>		<i>7361.43</i>	

	Total interest accumulated in bank account to date	Rs.	19.0 lakhs
6. Budget Allocation by ULB / parastatal agency			
	Allocation in ULB / parastatal agency budget for this project in current financial year	Rs. 1197.0 Lakhs	

²³ Note (for filling table):

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

²⁴ From start of the project

All amounts are in Rs. lakhs

7. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	Work started /under progress	Physical % of work done	Scheduled date	Actual completion date
1.	Construction and strengthening of Nalla No1 to 9 and sub nallas under Integrated Nalla Development Project in Kalwa	2821.27	3050.00		28-11-08	27-02-09	Completed	100%	March 2011	June 2011
2.	Construction and strengthening of Nalla No. 1 to 10 and sub nallas under Integrated Nalla Development Project in Mumbra & Diva villages	2884.74	3121.00		28-11-08	27-02-09	Completed	100%	March 2011	Mach 2011
Total		5706.01	6171							

8.	Project Implementation activities planned for the quarter	Project implementation achievements during the quarter
	<ul style="list-style-type: none"> Construction of nalla no1,3,4,5,6, 8 and 9 in Kalwa Construction of nalla no 1,2,3,7,8,9 and 10 in Mumbra & Diva villages 	<ul style="list-style-type: none"> Construction of nalla no1,3,4,5,6, 8 and 9 in Kalwa in progress Construction of nalla no 1,2,3,7,8,9 and 10 in Mumbra & Diva villages
9.	Estimated time for completion of Project as per DPR ²⁵ : <i>March 2011</i>	
	Estimated time for completion of project as on date: <i>June 2011</i>	

All amounts are in Rs. lakhs

²⁵ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the project

10. Monitoring Funds Utilization²⁶ for the project					
Tender Package No.	Actual amounts utilized in the project		Cumulative Expenditure as on date	Estimated Expenditure for next Quarter	Expected time to request for next installment
	Up to end of last reporting Quarter²⁷	During the last quarter being reported (July – September)			
1. <i>Construction and strengthening of Nalla No1 to 9 and sub nallas under Integrated Nalla Development Project in Kalwa</i>	3041.33	135.15	3176.48		<i>Till date 3rd Installment is not received, which was sanctioned in CSMC meeting held on 20/12/210 4th installment will be claimed after the receipt of 3rd installment</i>
2. <i>Construction and strengthening of Nalla No. 1 to 10 and sub nallas under Integrated Nalla Development Project in Mumbra & Diva villages</i>	3481.14	77.45	3558.59		
Total	6522.47	212.60	6735.07		
Utilisation of funds as % of funds received from all sources for the project as on date				91.49%	
11. Any issues / constraints in project implementation					
•					

Signature & Date
Authorised Signatory
Nodal Officer
Thane Municipal Corporation, Thane

Signature & Date
Authorised Signatory
Municipal Commissioner
Thane Municipal Corporation, Thane

²⁶ Utilisation implies – draws from the project bank account for payments pertaining to the project

²⁷ From the start of the project

Scheduled completion date of Project as per DPR7 approved by CSMC: *month / year = March 2011*

Actual duration (in months)for project completion: = *29 months*

Estimated time for completion of project as on date: *month / yea = 0 months*

Is there a difference between schedule date of completion and estimated date of completion :*Yes up to June 2011*

In case Yes, then what are the reasons for the delay, please select from the list below:

Sr. No	List of Issues	Yes/ No	Brief remark on reason of delay
1	Delay related to fund release into Project account	Yes	
2	Issues related to cost escalation	<i>No</i>	-
3	Delay in tendering process	<i>No</i>	-
4	Technical sanction process at State level	<i>No</i>	-
5	Field level conditions leading to redesign	<i>No</i>	-
6	Constraints in supply of equipment /material / technology	<i>No</i>	-
7	Technical capacity of ULB	<i>No</i>	-
8	Project management related issue	<i>No</i>	-
9	Any other issue / constraints in project implementation	<i>Yes</i>	Rehabilitation of PAP is under progress

Signature & Date
Authorised Signatory
Nodal Officer

Signature & Date
Authorised Signatory
Municipal Commissioner

Issues of Project monitoring and Inspection		
Sr. No	Particulars	Remark
1	Inspection carried out by SLNA /GoI officers	Inspection carried out by M/S Shrikhande Consultant Pvt Ltd.
2	Date of inspection	28/08/2011
3	Issues reported during inspection	Nil
4	Course corrections done	Nil
5	Suggestion if any for Project monitoring and MIS	-

Signature & Date
Authorised Signatory
Nodal Officer
Thane Municipal Corporation, Thane

Signature & Date
Authorised Signatory
Municipal Commissioner
Thane Municipal Corporation, Thane

Sewerage Scheme Project for Thane City

1.	Project title:	<i>Sewerage Scheme Project for Thane City</i>		3.	Project Bank A/c No: & Name & Address of Bank	<i>60057052332 Bank of Maharashtra TMC Branch</i>
2.	Project code:	<i>MUM-34</i>		4.	Project Cost (in Rs. Lakhs) – as sanctioned	<i>14957.00.</i>
3.	Implementing Agency:	<i>Thane Municipal Corporation</i>				

All amounts are in Rs. lakhs

5. Capital Contributions to the project and Inflows²⁸							
S. No	Sources	Commitment based on approved project cost	%	Actual release upto end of last reporting quarter ²⁹	Actual amounts released and dates in current financial year FY 10-11		Commitment pending release from source for balance project period
					During the last quarter being reported (July – September)	Cumulative released as on date	
1	GoI	5234.88	35	2617.44	-	2617.44	
2	State	2243.51	15	1121.87		1121.87	
3	ULB	7478.39	50	13250.94		13250.94	
4	Others (specify)						
	Total	<i>14956.78</i>	<i>100</i>	<i>16990.25</i>		<i>16990.25</i>	
Total interest accumulated in bank account to date						Rs.	285.0lakhs

²⁸ Note (for filling table):

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

²⁹ From start of the project

6. Budget Allocation by ULB / parastatal agency

Allocation in ULB / parastatal agency budget for this project in current financial year

Rs 7727.19 Lakhs*All amounts are in Rs. lakhs*

7. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	Work started /under progress	Physical % of work done	Schedule d date	Actual completion date
1	<i>Conveyance system for Thane City stage I</i>	5250.00	5359.00	<i>In progress</i>	8/8/06	4/6/07	<i>Under progress</i>	88.82%	<i>March 2011</i>	
2	<i>Conveyance system stage II Pumping station 120 Mld STP</i>	9707.00	19458.00	<i>In progress</i>	19/10/07	27/02/09	<i>Under progress</i>	94.04%	<i>March 2011</i>	
Total		14957.00	24817.00							

8.	Project Implementation activities planned for the quarter	Project implementation achievements during the quarter
	<ul style="list-style-type: none"> <i>Laying of sewer lines, construction of manholes and reinstatement of excavated sewer trench</i> <i>Design of pumping station, screen grit chamber, SBR reactor and primary secondary clarifier</i> 	<ul style="list-style-type: none"> <i>Laying of sewer lines, construction of manholes and reinstatement of excavated sewer trench in progress</i> <i>Construction of pumping station in progress. Construction of screen grit chamber, SBR reactor and primary secondary clarifier in progress.</i>

9.	Estimated time for completion of Project as per DPR ³⁰ : June 2010
	Estimated time for completion of project as on date March 2011

³⁰ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the project

All amounts are in Rs. lakhs

10. Monitoring Funds Utilisation³¹ for the project					
Tender Package No.	Actual amounts utilized in the project		Cumulative Expenditure as on	Estimated expenditure for next quarter	Expected time to claim for next installment
	Up to end of last reporting Quarter ³²	During the last quarter being reported (July – September)			
1	3037.90	296.20	3334.10		<i>G.R. issued for 3rd installment but payment is pending. 4th UC is also claimed</i>
2	11423.51	724.85	12148.36		
Total	14461.41	1021.05	15482.46		
Utilization of funds as % of funds received from all sources for the project as on date				91.12%	

11. Any issues / constraints in project implementation

- Issue regarding the Escalation clause in the tender for the projects already sanctioned by CS & MC
- Incorporating the additional cost due to the trends of higher rates in the tender (10 to 15 percent higher than the estimated cost)

Signature & Date
Authorised Signatory
Nodal Officer
Thane Municipal Corporation, Thane

Signature & Date
Authorised Signatory
Municipal Commissioner
Thane Municipal Corporation, Than

⁷ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the project

³¹ Utilisation implies – draws from the project bank account for payments pertaining to the project

³² From the start of the project

Scheduled completion date of Project as per DPR7 approved by CSMC: *month / year = November 2010*

Actual duration (in months)for project completion: = *36 months*

Estimated time for completion of project as on date: *month / year = March 2011*

Is there a difference between schedule date of completion and estimated date of completion : *Yes /No*

In case Yes, then what are the reasons for the delay, please select from the list below:

Sr. No	List of Issues	Yes/ No	Brief remark on reason of delay
1	Delay related to fund release into Project account	Yes	<i>G.R. issued for 3rd installment but payment is pending. 4th UC is also claimed</i>
2	Issues related to cost escalation	No	-
3	Delay in tendering process	Yes	-
4	Technical sanction process at State level	No	-
5	Field level conditions leading to redesign	No	-
6	Constraints in supply of equipment /material / technology	No	-
7	Technical capacity of ULB	No	-
8	Project management related issue	No	-
9	Any other issue / constraints in project implementation	No	-

Signature & Date
Authorised Signatory
Nodal Officer

Signature & Date
Authorised Signatory
Municipal Commissioner

11 Issues of Project monitoring & inspection		
Sr. No	Particulars	Remark
1	Inspection carried out by SLNA /GoI officers	Inspection carried out by M/S Shrikhande Consultant Pvt Ltd.
2	Date of inspection	7 th May 2011
3	Issues reported during inspection	<ul style="list-style-type: none"> • Calibration of compression testing machine • Removal of Encroachments near pumping station • Hydraulic testing of newly laid pipes • Removal of debris stacked near Ps2
4	Course corrections done	All above issued are complied
5	Suggestion if any for Project monitoring and MIS	-

Signature & Date
Authorised Signatory
Nodal Officer
Thane Municipal Corporation, Thane

Signature & Date
Authorised Signatory
Municipal Commissioner
Thane Municipal Corporation, Thane

Under Ground Sewerage Scheme (Kalwa & Ghodbunder) - Phase II

1.	Project title:	<i>Under Ground Sewerage Scheme (Kalwa & Ghodbunder) - Phase II</i>	3.	Project Bank A/c No: & Name & Address of Bank	<i>60057052581 Bank of Maharashtra TMC Branch</i>
2.	Project code:	<i>MUM-51</i>	4.	Project Cost (in Rs. Lakhs) – as sanctioned	14009.00
3.	Implementing Agency:	<i>Thane Municipal Corporation</i>			

All amounts are in Rs. lakhs

5. Capital Contributions to the project and Inflows ³³								
S. No	Sources	Commitment based on approved project cost	%	Actual release upto end of last reporting quarter ³⁴	Actual amounts released and dates in current financial year FY 10-11		Commitment pending release from source for balance project period	
					During the last quarter being reported (July – September)	Cumulative release as on		
1	GoI	4903.20	35	11960.79		11960.79		
2	State	2101.40	15	840.34		840.34		
3	ULB	7004.40	50	3259.39		3259.39		
4	Others (specify)							
	Total	14009.0	100	6060.52		6060.52		
	Total interest accumulated in bank account to date					Rs.	274.0 Lakhs	

³³ Note (for filling table):

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

³⁴ From start of the project

6. Budget Allocation by ULB / parastatal agency

Allocation in ULB / parastatal agency budget for this project in current financial year

Rs. 12800.0 Lakhs*All amounts are in Rs. lakhs*

7. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	Work Started	% completed (physical)	Scheduled date	Actual date
1	<i>Network I – Laying of sewer lines (Ghodbunder road)</i>	3416.80	3622.00				<i>Not started</i>	%	<i>May 2011</i>	
2	<i>Network II (Laying of sewer line, 7 nos of pumping station and 100Mld STP at Kharegaon)</i>	9170.00	18829.00		19/10/07	27/02/09	<i>Under progress</i>	35.06%	<i>May 2011</i>	
Total		12586.80	22451.00							

8. Project Implementation activities planned for the quarter	Project implementation achievements during the quarter
<ul style="list-style-type: none"> <i>Laying of sewer lines, construction of manholes and reinstatement of excavated sewer trench</i> <i>Design of pumping station, screen grit chamber, SBR reactor</i> 	<ul style="list-style-type: none"> <i>Survey completed and final designing in process</i> <i>Designing of pumping station, screen grit chamber, SBR reactor completed and scrutiny in process</i>

9.	Estimated time for completion of Project as per DPR ³⁵ : June 2010 Estimated time for completion of project as on date: May 2011
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All amounts are in Rs. lakhs

10. Monitoring Funds Utilisation³⁶ for the project					
Tender Package No.	Actual amounts utilized in the project			Balance utilization forecast for balance project period	Expected time to request for next installment
	Up to end of last reporting Quarter ³⁷	During the last quarter being reported <i>(July – September)</i>	Cumulative Expenditure as on		
1	243.09				
2	3019.48	260.59	3280.07		
Total	* 3262.57	260.59	** 3523.16		
Utilisation of funds as % of funds received from all sources for the project as on date				58.13%	

* Including Mobilization advance of Rs *607.3+ **12.74 lakhs

11. Any issues / constraints in project implementation
<ul style="list-style-type: none"> • <i>Issue regarding the Escalation clause in the tender for the projects already sanctioned by CS & MC</i> • <i>Incorporating the additional cost due to the trends of higher rates in the tender (10 to 15 percent higher than the estimated cost)</i>

Signature & Date
Authorised Signatory
Nodal Officer
Thane Municipal Corporation, Thane

Signature & Date
Authorised Signatory
Municipal Commissioner
Thane Municipal Corporation, Thane

³⁵ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the project

³⁶ Utilisation implies – draws from the project bank account for payments pertaining to the project

³⁷ From the start of the project

Scheduled completion date of Project as per DPR⁷ approved by CSMC: *month / year November 2010*

Actual duration (in months)for project completion: = *27 months*

Estimated time for completion of project as on date: *month / year May 2011*

Is there a difference between schedule date of completion and estimated date of completion : *Yes / No*

In case Yes, then what are the reasons for the delay, please select from the list below:

Sr. No	List of Issues	Yes/ No	Brief remark on reason of delay
1	Delay related to fund release into Project account	No	-
2	Issues related to cost escalation	No	-
3	Delay in tendering process	No	-
4	Technical sanction process at State level	No	-
5	Field level conditions leading to redesign	No	-
6	Constraints in supply of equipment /material / technology	No	-
7	Technical capacity of ULB	No	-
8	Project management related issue	No	-
9	Any other issue / constraints in project implementation	No	-

Signature & Date

Authorised Signatory

Nodal Officer

Thane Municipal Corporation, Thane

Signature & Date

Authorised Signatory

Municipal Commissioner

Thane Municipal Corporation, Thane

⁷ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the pro

11 Issues of Project monitoring & inspection		
Sr. No	Particulars	Remark
1	Inspection carried out by SLNA /GoI officers	Inspection carried out by M/S Shrikhande Consultant Pvt Ltd.
2	Date of inspection	30/09/2011
3	Issues reported during inspection	Report Awaited
4	Course corrections done	N.A.
5	Suggestion if any for Project monitoring and MIS	-

Signature & Date
Authorised Signatory
Nodal Officer
Thane Municipal Corporation, Thane

Signature & Date
Authorised Signatory
Municipal Commissioner
Thane Municipal Corporation, Thane

**Under Ground Sewerage Scheme (Mumbra)
Phase III**

1.	Project title:	<i>Under Ground Sewerage Scheme (Mumbra) – Phase III</i>	3.	Project Bank A/c No: & Name & Address of Bank	<i>60057053368 Bank of Maharashtra TMC Branch</i>
2.	Project code:	<i>MUM-52</i>	4.	Project Cost (in Rs. Lakhs) – as sanctioned	<i>4181.00</i>
3.	Implementing Agency:	<i>Thane Municipal Corporation</i>			

All amounts are in Rs. lakhs

5. Capital Contributions to the project and Inflows ³⁸							
S. No	Sources	Commitment based on approved project cost	%	Actual release upto end of last reporting quarter ³⁹	Actual amounts released and dates in current financial year FY 10-11	Cumulative expenditure as on	Commitment pending release from source for balance project period
					During the last quarter being reported (July– September)		
1	GoI	<i>1463.35</i>	<i>35</i>	<i>584.84</i>		<i>584.84</i>	
2	State	<i>627.15</i>	<i>15</i>	<i>244.79</i>		<i>244.79</i>	
3	ULB	<i>2090.5</i>	<i>50</i>	<i>1818.63</i>		<i>1818.63</i>	
4	Others (specify)						
	Total	<i>4181.00</i>	<i>100</i>	<i>2648.26</i>		<i>2648.26</i>	
Total interest accumulated in bank account to date						Rs.	85.0 lakhs

³⁸ Note (for filling table):

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

³⁹ From start of the proje

6. Budget Allocation by ULB / parastatal agency	
Allocation in ULB / parastatal agency budget for this project in current financial year	Rs. 3800.0 Lakhs

All amounts are in Rs. lakhs

7. Project Implementation Monitoring										
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Implementation		Completion	
Package No.	Brief Title of Tender Package	Estimate	Awarded	On completion	Tender Release date	Tender Award date	Work under progress	Physical % of work completed	Scheduled date	Actual completion date
1	<i>Mumbra sewer network, pumping station and 32 Mld STP</i>	4181.00	8183.00		19/10/07	27/02/09	<i>Under progress</i>	65.58%	March 2011	
Total		4181.00	8183.00							

8.	Project Implementation activities planned for the quarter	Project implementation achievements during the quarter
	<ul style="list-style-type: none"> • <i>Laying of sewer lines, construction of manholes and reinstatement of excavated sewer trench</i> • <i>Design of pumping station, screen grit chamber, SBR reactor</i> 	<ul style="list-style-type: none"> • <i>Survey completed and final designing in process</i> • <i>Designing of pumping station , screen grit chamber, SBR reactor completed and scrutiny in process</i>

9.	Estimated time for completion of Project as per DPR ⁴⁰ : June 2010
	Estimated time for completion of project as on date: March 2011

⁴⁰ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the project

All amounts are in Rs. lakhs

10. Monitoring Funds Utilisation⁴¹ for the project					
Tender Package No.	Actual amounts utilised in the project			Estimated Expenditure for next Quarter	Expected time to request for next installment
	Up to end of last reporting Quarter ⁴²	During the last quarter being reported (July – September)			
1	2337.95	259.00		2596.95	
Total	2337.95	259.00		2596.95	
Utilisation of funds as % of funds received from all sources for the project as on date				98.06%	

11. Any issues / constraints in project implementation

- Issue regarding the Escalation clause in the tender for the projects already sanctioned by CS & MC
- Incorporating the additional cost due to the trends of higher rates in the tender (10 to 15 percent higher than the estimated cost)

Signature & Date
Authorised Signatory
Nodal Officer
Thane Municipal Corporation, Thane

Signature & Date
Authorised Signatory
Municipal Commissioner
Thane Municipal Corporation, Thane

⁴¹ Utilisation implies – draws from the project bank account for payments pertaining to the project

⁴² From the start of the project

Scheduled completion date of Project as per DPR⁷ approved by CSMC: *month / year = November 2010*

Actual duration (in months)for project completion: *24 months*

Estimated time for completion of project as on date: *month / year March 2011*

Is there a difference between schedule date of completion and estimated date of completion : *No*

In case Yes, then what are the reasons for the delay, please select from the list below:

Sr. No	List of Issues	Yes/ No	Brief remark on reason of delay
1	Delay related to fund release into Project account	<i>No</i>	-
2	Issues related to cost escalation	<i>No</i>	-
3	Delay in tendering process	<i>No</i>	-
4	Technical sanction process at State level	<i>No</i>	-
5	Field level conditions leading to redesign	<i>No</i>	-
6	Constraints in supply of equipment /material / technology	<i>No</i>	-
7	Technical capacity of ULB	<i>No</i>	-
8	Project management related issue	<i>No</i>	-
9	Any other issue / constraints in project implementation	<i>No</i>	-

⁷ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the proj

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11 Issue of Project monitoring and inspection		
Sr. No	Particulars	Remark
1	Inspection carried out by SLNA /GoI officers	Inspection carried out by M/S Shrikhande Consultant Pvt Ltd.
2	Date of inspection	30/09/2011
3	Issues reported during inspection	Report Awaited
4	Course corrections done	
5	Suggestion if any for Project monitoring and MIS	----

Signature & Date
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Nodal Officer
Thane Municipal Corporation, Thane

Signature & Date
Authorised Signatory
Municipal Commissioner
Thane Municipal Corporation, Thane

Procurement of buses under JNNURM

1.	Project title:	<i>Procurement of buses under JNNURM</i>	3.	Project Bank A/c No: & Name & Address of Bank	<i>60057053969 Bank of Maharashtra TMC Branch</i>
2.	Project code:	<i>MUM-</i>	4.	Project Cost (in Rs. Lakhs) – as sanctioned	<i>4011.0</i>
3.	Implementing Agency:	<i>Thane Municipal Corporation</i>			

5. Capital Contributions to the project and Inflows ⁴³							
S. No	Sources	Commitment based on approved project cost (excluding Octroi & VAT)	%	Actual release upto end of last reporting quarter ⁴⁴	Actual amounts released and dates in current financial year FY 10-11	Cumulative release as on date	Commitment pending release from source for balance project period
					During the last quarter being reported (July –September)		
1	Gol	<i>1404.0</i>	<i>35</i>	<i>994.0</i>	-	<i>994.0</i>	
2	State	<i>602.0</i>	<i>15</i>	<i>426.0</i>	-	<i>426.0</i>	
3	ULB	<i>2005.0</i>	<i>50</i>	<i>2658.00</i>		<i>2658.00</i>	
4	Others (specify)				-		
	Total	<i>4011.0</i>	<i>100</i>	<i>4078.0</i>	-	<i>4078.0</i>	
Total interest accumulated in bank account to date						Rs.	10.00lakhs

⁴³ Note (for filling table):

(1) Quarter is defined to be aligned with the financial year time frames

(2) Actual dates / amounts for all sources should correspond to dates / amounts of actual cash inflow in the project bank account

(3) Amounts committed pending release for current financial year and beyond should be linked to project implementation schedule.

⁴⁴ From start of the project

6. Budget Allocation by ULB / parastatal agency

Allocation in ULB / parastatal agency budget for this project in current financial year

1814.0 lakhs

7. Project Implementation Monitoring								
List all tender packages proposed for the project		Cost (in Rs. Lakhs)			Project Start		Completion	
Package No.	Brief Title of Tender Package	Estimate (Including Octroi & VAT)	Awarded (Including Octroi & VAT)	On completion	Tender Release date	Tender Award date	Scheduled date	Actual completion date
1	<i>Procurement of 100 no of buses fully body built semi low floor (Floor Ht. 900mm) Diesel buses (50 no)</i>	1100.00	995.20		21/02/09	21/04/09	31/12/09	31/12/2010
	<i>CNG buses (50 no)</i>	1100.00	1178.29		21/02/09	21/04/09	31/12/09	
2	<i>Procurement of 60 no of buses fully body built semi low floor (Floor Ht. 900mm) Diesel buses (30 no)</i>	660.0	597.12		28/04/09	30/06/09	31/12/09	31/12/2010
	<i>CNG buses (30 no)</i>	660.0	706.97		28/04/09	30/06/09	31/12/09	
3	<i>Procurement of 40 no of buses fully body built semi low floor (Floor Ht. 650mm) Diesel buses Non AC (30 no)</i>	720.0	908.14		28/04/09	12/08/09	31/12/09	31/12/2010
	<i>Diesel buses AC (10 no)</i>	540.0	352.06		28/04/09	12/08/09	31/12/09	
Total		4780.0	4737.81					

8. Project Implementation activities planned for the quarter	Project implementation achievements during the quarter
	<i>200 no of buses registered and are on road</i>

9.	Estimated time for completion of Project as per DPR ⁴⁵ : December 2009
	Estimated time for completion of project as on date: December 2009 (Extended up to March 2010 as GoI letter)

10. Monitoring Funds Utilisation⁴⁶ for the project							
Tender Package No.	Actual amounts utilised in the project				Cumulative Expenditure Reported	Estimated Expenditure for Next quarter	Expected time to request for next installment
	Up to end of last reporting Quarter ⁴⁷		During the last quarter being reported (July –September)				
			CNG	Diesel			
1) Procurement of 100 buses	1639.47					1639.47	<i>31st March 2012</i>
2) Procurement of 60 buses	976.10		-	-		976.10	
3) Procurement of 10AC Diesel buses	990.21		-			990.21	
30 Non AC Diesel buses							
Total	3605.78					3605.78	
Utilisation of funds as % of funds received from all sources for the project as on date							88.42%

⁴⁵ Please specify month and year of completion as envisaged in the DPR, taking into account the release of first installment of funds from GoI as start date for the project

⁴⁶ Utilisation implies – draws from the project bank account for payments pertaining to the project

⁴⁷ From the start of the project

11. Any issues / constraints in project implementation

- The DPR cost was Rs 4780 lakhs which include Octroi & VAT. Actual awarded cost is Rs 4737 lakhs including Octroi & VAT. CSMC sanctioned DPR cost is Rs 4011 lakhs which excludes Octroi and VAT

Signature & Date

Authorised Signatory

Nodal Officer

Thane Municipal Corporation, Thane

Signature & Date

Authorised Signatory

Municipal Commissioner

Thane Municipal Corporation, Thane

July to September

11 Status of various Initiatives				
Sr. No	Programme	Item	Actual status (in numbers)	
			During last Quarter	Cumulative since inception of mission
1	Type of capacity building programme - 4			
		No of officers trained	6	2002
		No. of Non officers trained	-	42
2	Workshops 2	National	-	13
		State		16
		Regional level	6	133
	Others (Please specify initiatives)			